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Attorney Docket No. 72780 [01P061PS-US00]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Iwai et al.
Application No.: 09/846,877
Filed: May 1, 2001
For: RECORDING MEDIUM, PROGRAM,
ENTERTAINMENT SYSTEM,
ENTERTAINMENT APPARATUS,
AND IMAGE DISPLAYING METHOD
Group Art Unit: 2615
Examiner: Boccio, Vincent F.

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date.

May 8, 2003
Date

Pamela M. Stone
Pamela M. Stone

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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MAY 13 2003

Technology Center 2600

Dear Sir:

This Information Disclosure Statement is submitted:

- X Under 37 CFR §1.97(b) before the mailing date of a first Office Action on the merits.
- X Under 37 CFR §1.97(c) together with either:
 X a statement under 37 CFR §1.97(e), or
_____ a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).
- X Statement under 37 C.F.R. § 1.97(e)(1): The undersigned states that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the

Information Disclosure Statement
U.S. App. No. 09/846,877

subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO-1449 with two (2) references listed as items AA-AB.

Copies of the items listed on the attached Form PTO-1449 are enclosed.

Concise Explanation of Relevance

Items AA-AB listed on the Form PTO-1449 are not in the English language.

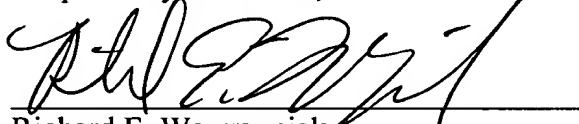
A concise explanation of the relevance of item AA is in the form of a copy of a Japanese "Rejection of the Application" mailed February 12, 2003, issued over the corresponding Japanese Patent Application, that was mailed from a foreign patent office and issued in a counterpart foreign application, which refers to the reference. The Japanese "Rejection of the Application" mailed February 12, 2003 is listed as item AB and a copy is included herewith. An English-language translation of portions of the Japanese "Rejection of the Application" mailed February 12, 2003 is also included herewith.

In addition, item AA includes an English-language Abstract.

It is requested that the information provided herein be made of record in this application.

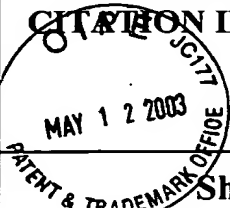
Dated: 5/8/03

Respectfully submitted,


Richard E. Wawrzyniak
Reg. No. 36,048

Address all Correspondence to:

FITCH, EVEN, TABIN & FLANNERY
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Chicago, IL 60603
Telephone: (858) 552-1311
Facsimile: (858) 552-0095

Form PTO-1449 (Modified) INFORMATION DISCLOSURE CITATION IN AN APPLICATION 	Application No.	09/846,877
	Filing Date	May 1, 2001
	First Named Inventor	IWAI, Toshio
	Group Art Unit	2615
	Examiner Name	Boccio, Vincent F.
Sheet 1 of 1	Attorney Docket No.	72780 [01P061PS-US00]

U.S. PATENT DOCUMENTS									
EXAMINE R INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION (MM-DD- YYYY)	CLASS	SUB CLASS	FILING DATE (if appropriate)
			PATENT, PUB., OR APP. NO.	KIND CODE (if known)					

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FOREIGN PATENT DOCUMENTS										
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	FOREIGN PATENT DOCUMENT			DATE OF PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	TRANSLATION	
			COUNTRY OR OFFICE (two-letter code)	DOCUMENT NO.	KIND CODE (if known)				YES	NO
	AA		JP	10-328414	A	12/15/1998			X (Abstract only)	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	AB		JAPANESE PATENT OFFICE; "Rejection of the Application"; Mailing No. 035070; Mailing Date: February 12, 2003; 5 pages (including one-page English translation of portions).

Examiner Signature		Date Considered	
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			



2615

Please type a plus sign (+) inside this box →

+

PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/846,877	
	Filing Date	May 1, 2001	
	First Named Inventor	IWAI, Toshio	
	Group Art Unit	2615	
	Examiner Name	Boccio, Vincent F.	
Total Number of Pages in This Submission	6	Attorney Docket Number	72780 [01P061PS-US00]

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Form PTO-1449 - copies of two (2) references - Return receipt postcard
<div>RECEIVED MAY 13 2003 Technology Center 2600</div>		

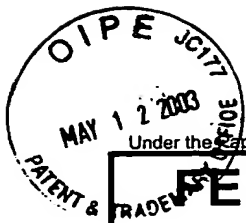
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual name	Richard E. Wawrzyniak, Reg. No. 36,048 FITCH, EVEN, TABIN & FLANNERY
Signature	
Date	5/8/03

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date. <div>May 8, 2003</div>			
Typed or printed name	Pamela M. Stone		
Signature		Date	May 8, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



FEE TRANSMITTAL for FY 2003 <i>Patent fees are subject to annual revision.</i>	Complete if Known	
	Application Number	09/846,877
	Filing Date	May 1, 2001
	First Named Inventor	IWAI, Toshio
	Examiner Name	Boccio, Vincent F.
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	Group Art Unit	2615
TOTAL AMOUNT OF PAYMENT	\$00.00	Attorney Docket No. 72780 [01P061PS-US00]

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES					
<input checked="" type="checkbox"/> Deposit Account		Large Entity Small Entity					
Deposit Account Number: 06-1135		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit Account Name: Fitch, Even, Tabin & Flannery		1051	130	2051	65	Surcharge - late filing fee or oath	
The Commissioner is authorized to: (check all that apply)		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments		1053	130	1053	130	Non-English specification	
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE		1251	110	2251	55	Extension for reply within first month	
Large Entity	Small Entity	1252	410	2252	205	Extension for reply within second month	
Fee Code	Fee (\$)	1253	930	2253	465	Extension for reply within third month	
1001	750	1254	1,450	2254	725	Extension for reply within fourth month	
1002	330	1255	1,970	2255	985	Extension for reply within fifth month	
1003	520	1401	320	2401	160	Notice of Appeal	
1004	750	1402	320	2402	160	Filing a brief in support of an appeal	
1005	160	1403	280	2403	140	Request for oral hearing	
SUBTOTAL (1) \$00.00		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1452	110	2452	55	Petition to revive - unavoidable	
Total Claims	Extra Claims	1453	1,300	2453	650	Petition to revive - unintentional	
Independent Claims	Fee from below	1501	1,300	2501	650	Utility issue fee (or reissue)	
Multiple Dependent	Fee Paid	1502	470	2502	235	Design issue fee	
Large Entity	Small Entity	1503	630	2503	315	Plant issue fee	
Fee Code	Fee (\$)	1460	130	1460	130	Petitions to the Commissioner	
1202	18	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1201	84	1806	180	1806	180	Submission of Information Disclosure Stmt	
1203	280	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1204	84	1809	750	1809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1205	18	1810	750	1810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
SUBTOTAL (2) \$00.00		1801	750	1801	375	Request for Continued Examination (RCE)	
**or number previously paid, if greater; For Reissues, see above		1802	900	1802	900	Request for expedited examination of a design application	
		Other fee (specify)		SUBTOTAL (3) \$00.00			

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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Richard E. Wawrzyniak	Registration No. (Attorney/Agent)	36,048
Signature		Telephone	858-552-1311
		Date	5/8/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization of PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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